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ROTATOR CUFF DISORDERS: A SURVEY OF CURRENT PHYSIOTHERAPY PRACTICE IN BELGIUM AND THE NETHERLANDS

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Conflicts of interest

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ABSTRACT

Background: Disorders of the rotator cuff are a common musculoskeletal pain presentation in the general population, and treatment by a physiotherapist is often prescribed. In 2011 and 2016, surveys of physiotherapy practice in the United Kingdom (UK) were performed, which reported that advice and exercise were the most common treatment strategies used. The aim of this current survey was to examine current physiotherapy practice in Belgium and The Netherlands, with consideration of differences between physiotherapists who were members of a shoulder network and physiotherapists who were not.

Methods: During February / March 2018, a cross-sectional online survey was conducted in Belgium and The Netherlands.

Results: 505 physiotherapists completed the survey. Advice (n=362/505), isotonic exercises (n=302/505) and scapular stabilisation exercises (n=359/505) were the most common treatment modalities for patients with rotator cuff disorders. Physiotherapists not part of a shoulder network group more commonly integrated mobilization (n=66/254 SN, n=125/251 N-SN), electrotherapy (n=1/254 SN, n=19/251 N-SN) and massage (n=48/254 SN, n=89/251 N-SN) compared to those who were member of the group.

Conclusion: Advice and exercise were the most common treatment prescriptions, which aligns with recommendations from current research evidence. Practice differs between physiotherapists involved with a shoulder network group compared to those who are not.

Keywords: Cross-sectional study, survey, shoulder pain, rotator cuff disorders, physiotherapy

INTRODUCTION

Shoulder pain is a very common musculoskeletal pain presentation with 30 to 67% of the 2 general population experiencing shoulder pain at any one time(1, 2). It is the third most 3 common musculoskeletal disorder(3) with a high rate of persisting complaints: 54% of 4 patients still report symptoms after a period of three years(4). Disorders of the rotator cuff 5 6 (RC) are widely regarded as the most common cause of shoulder pain and physiotherapy is often the first line treatment option(5), although there is variation in physiotherapy practice 7 8 for this type of disorder. Many randomized controlled trials have investigated the effectiveness of conservative interventions for RC disorders, and a systematic review 9 reported that exercise appears to be a promising treatment option(6). 10

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In 2011, Littlewood et al.(7) conducted a survey of physiotherapy practice for patients with 12 rotator-cuff related shoulder pain in the United Kingdom (UK). The survey reflected 13 variability in physiotherapy practice. Bury and Littlewood(8) repeated a similar survey in 14 2016, and concluded that advice and exercise were the preferred treatment strategies of the 15 survey respondents, suggesting that practice had evolved in line with contemporary 16 recommendations from research evidence. In 2011, Struyf et al. (9) conducted a similar 17 survey among the members of the Belgian Physiotherapists Society to examine the use of 18 19 evidence-based practice methods for the treatment of patients with shoulder impingement syndrome, a synonymous term for RC disorders. Conclusion was made that exercise therapy 20 and manual therapy were reportedly used by most physiotherapists who are specialized in 21 22 either manual therapy or sports therapy. These practices are in line with current evidence for the treatment of shoulder impingement syndrome. 23

In the Netherlands and Belgium, physiotherapy is organized in network groups. By being a member of a shoulder network, you are granted access to practice-based guidelines considering shoulder problems and you are informed about current evidence-based practice in shoulder rehabilitation by the respective network board members. The impact of this organisation and information provision remains uncertain though.

29

Evidence based practice is defined as the process of making clinical decisions based on the 30 31 best available evidence in combination with patient values and clinical expertise(10). Valid physiotherapy guidelines, when followed, are a possible basis for avoiding or postponing the 32 need for surgery, minimizing the severity of surgery and improving surgical outcomes. Thus, 33 they can lead to a reduction of the societal and economic costs(11). Hence, the aim of this 34 current survey was to examine current physiotherapy practice in Belgium and The 35 Netherlands, with a focus on possible differences between physiotherapists who are 36 members of a shoulder network (SN) and physiotherapists who are not (N-SN), and to 37 identify whether practice is in line with current recommendations from research evidence. 38

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METHODS

42 Study design

A cross-sectional study was performed, creating an online survey based on the study of Bury and Littlewood(8) in 2016. The original survey was translated verbatim to Dutch and was based around a clinical scenario of a typical patient with signs and symptoms of a RC disorder (*box 1*). Eight questions were composed considering treatment options (*box 2*). Patient case is proved as a valid tool for eliciting information on clinical practice and increasing the chance of a reflective response(12).

49

50 Sampling and recruitment

Physiotherapists from The Netherlands and the Dutch speaking part of Belgium were recruited. The inclusion criteria were being a physiotherapist who treats patients with RC disorders. Several resources were used to reach and invite potential physiotherapists: the online survey link was made available by e-mail, Facebook (community groups of physiotherapists), online news letters (e.g. the Royal Dutch Society for Physical Therapy (Koninklijk Nederlands Genootschap voor Fysiotherapie - KNGF), Association of the Belgian physiotherapists profession (Axxon)) and via a variety of contacts (shoulder researchers).

58

59 Data collection

50 SurveyMonkey was used to publish the survey online during February / March 2018, for a 51 total duration of 1 month. There were no further requests to complete the survey after this 52 period.

63 Statistical analysis

All responses were exported to Excel 2016 (Microsoft Corp. Redmond, WA, USA). To investigate any differences in the study groups, the non-parametric chi-square tests were conducted using SPSS version 24 (IBM Corp., Armonk, NY, USA). Qualitative data generated from the open-ended questions were evaluated using a thematic approach and were coded

A 54-year-old man presents to you with a 9-month history of right shoulder pain of gradual, insidious onset. The pain is located over the anterolateral aspect of his shoulder, with no radiation of symptoms. He describes the pain as intermittent, made worse by reaching up, lifting, reaching behind his back and lying on this side. Symptoms ease with rest. He has had no previous treatment or investigations for this problem so far and is otherwise in good general health. His occupation as a warehouse operative involves some heavy lifting onto shelves, which he is continuing to do. On examination, observation is unremarkable. Cervical spine range of movement is full and pain-free. Active shoulder movements are full, but with a painful arc on active abduction between 60 and 120 degrees. Passive shoulder movements are largely maintained. Isometric muscle testing produced pain on abduction and lateral rotation, with a power of 4/5

68 into categories / subcategories.

69 Box 1 Clinical scenario of a typical patient with a RC disorder

70 Box 2 Questionnaire

- 1. Would you request any further information or undertake any further clinical tests?
- 2. Which management strategies would you typically recommend for this patient?
- 3. When prescribing exercises, what instructions do you generally give to the patient?
- 4. What advice would you typically offer this patient?
- 5. Would you expect this person to recover with the prescribed physiotherapy period?
- 6. What would your main treatment goals be for this patient?
- 7. Would you consider this patient for a surgical opinion and if so, when?
- 8. Do you think that research could benefit your practice with regard to rotator cuff disorders?

<u>RESULTS</u>

In total, 792 respondents entered the survey. One respondent was excluded for not being a
physiotherapist in Belgium or in The Netherlands. 287 surveys were excluded because they
were not fully completed. The remaining 505 surveys were used in the data analysis.

The data of the physiotherapists in terms of years qualified, practice setting and being part

of a shoulder network are shown in *table 1*. Overall, there was a balanced representation of

		SN	N-SN	Total (%)	Total (n)
Years qualified (n = 505)					
<5 years		12	67	15.6%	79
5-10 years		29	27	11.1%	56
10-15 years		55	29	16.6%	84
15-20 years		26	20	9.1%	46
>20 years		132	108	47.5%	240
	TOTAL:	254	251		505
Role/practice setting (n = 505)					
Private practice		230	229	90.9%	459
Neurological rehabilitation centre		1	8	1.8%	9
Non-neurological rehabilitation centre		4	6	2.0%	10
Geriatric rehabilitation centre		2	2	0.8%	4
Residential care facility		5	23	5.5%	28
Physiotherapist sports department		9	7	3.2%	16
Post-operative hospital department		15	9	4.8%	24

78 physiotherapists who are member of a shoulder network and physiotherapists who are not.

24

23

9.3%

47

72

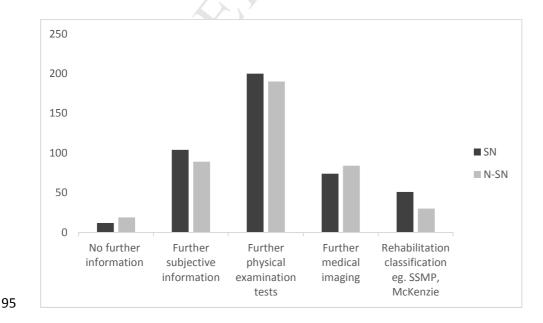
Other

79 Table 1 Respondents experience and practice settings

- 80 (SN, shoulder network members; N-SN, non-member of a shoulder network)
- 81 Outcome of survey questions

82 Would you request any further information or undertake any further clinical tests?

The results of this question are shown in Fig. 1. No further information was requested by 83 6.1% (n=31/505; 12/254 SN, 19/251 N-SN) of the survey respondents, 38.2% (n=193/505; 84 85 104/254 SN, 89/251 N-SN) of the physiotherapists would request further subjective information (information considering e.g. sleep, stress, nutrition, medication and medical 86 history), 77.2% (n=390/505; 200/254 SN, 190/251 N-SN) would undertake further physical 87 examination tests (e.g. scapular position, orthopaedic tests, myofascial structures, thoracic 88 spine and posture), 31.3% (n=158/505; 74/254 SN, 84/251 N-SN) would request medical 89 imaging ($\chi 2 = 1.102$, p = 0.294) and 16% (n=81/505; 51/254 SN, 30/251 N-SN) would 90 undertake further rehabilitation classification. The χ^2 -test showed a statistically significant 91 92 difference between SN (n=51/254) versus N-SN (n=30/251) in utilizing rehabilitation classification systems e.g. Shoulder Symptom Modification Procedure (SSMP), McKenzie ($\chi 2$ 93 = 6.191, p = 0.013). 94



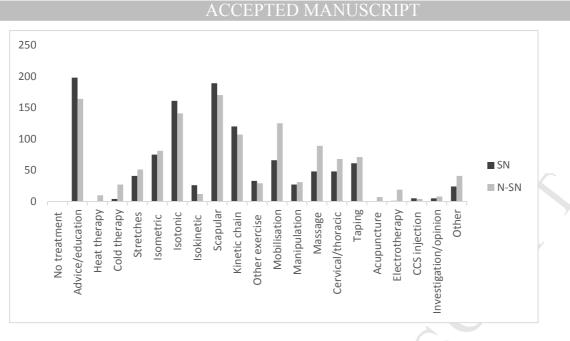
- 96 Figure 1 Would you request any further information or undertake any further clinical tests?
- 97 SN, shoulder network members; N-SN, non-member of a shoulder network
- 98 SSMP, Shoulder Symptom Modification Procedure; MDT, Mechanical Diagnosis & Therapy
- 99 Which management strategies would you typically recommend for this patient?

100 Fig. 2 shows an overview of the multiple applicable treatment options for this patient. Most of the physiotherapists (71.7%) would offer the patient advice / education related to their 101 shoulder complaint (n=362/505; 198/254 SN, 164 N-SN), 71.1% (n=359/505; 189/254 SN, 102 170/251 N-SN) would integrate scapular stabilisation exercises into their therapy, 59.8% 103 (n=302/505; 161/254 SN, 141/251 N-SN) would incorporate isotonic exercises, 45% 104 (n=227/505; 120/254 SN, 107/251 N-SN) of the respondents would prescribe a global 105 exercise approach involving the kinetic chain and 37.8% (n=191/505; 66/254 SN, 125/251 N-106 SN) would use mobilisations. 107

Physiotherapists not part of a shoulder network would integrate the use of massage (n=48/254 SN, n=89/251 N-SN), mobilisations (n=66/254 SN, n=125/251 N-SN), electrotherapy (n=1/254 SN, n=19/251 N-SN) and other treatment modalities (e.g. dry needling, shockwave therapy and Mulligan techniques) (n=24/254 SN, n=41/251 N-SN) more than their colleagues that do belong to a network (massage: $\chi^2 = 17.514$, p = 2.90*10-5; mobilisations: $\chi^2 = 30.450$, p = 3.43*10-8; electrotherapy: $\chi^2 = 17.092$, p = 3.60*10-5).

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The use of heat therapy (n=10/505; 0/254 SN, 10/251 N-SN), acupuncture (n=7/505; 0/254 SN, 7/251 N-SN), corticosteroid injections (n=9/505; 5/255 SN, 4/251 N-SN) and referral for further investigation (n=13/505; 5/254 SN, 8/251 N-SN) were rather uncommon answers in the treatment of this case.



121 Figure 2a Which management strategies would you typically recommend for this patient?

122 SN, shoulder network members; N-SN, non-member of a shoulder network

- 123 CCS, corticosteroid
- 124

120

125 When prescribing exercises, what instructions do you generally give to the patient?

This question was open-ended. Considering the parameter pain during exercise, different 126 guidelines were given to the patient. Twenty-two percent (n=57/254) of the shoulder 127 128 network group would advise the patient to exercise without any form of pain, whereas 68.1% of the physiotherapists of the shoulder network (n=173/254) would recommend 129 130 exercising with some level of pain acceptable for the patient and only 6.3% (n=16/254) would instruct the patient to perform exercises with a distinct pain. A small percentage, 131 3.5%, of the shoulder network group (n=8/254) would advise to exercise with a distinct pain 132 if the symptoms disappeared in the following 24 hours. Regarding the group of 133 physiotherapists not part of a shoulder network, 20.3% (n=51/251) would advise exercise 134 135 without any form of pain, 68.1% (n=171/251) would instruct to exercise with some level of pain acceptable to the patient, 9.6% (n=24/251) would advise to exercise with a distinct pain 136

and 2% (n=5/251) would recommend exercising with a distinct pain with symptoms
disappearing in the next 24 hours.

139 In relation to the exercise parameter repetitions, 3.9% of the shoulder network group (n=10/254) would advise the patient to do sets of less than 10 repetitions, 44.1% 140 (n=112/254) would recommend sets of 10 repetitions, 30.7% (n=78/254) would instruct sets 141 142 of 15 repetitions and 21.3% of the shoulder network group (n=54/254) would advise sets of high repetitions (more than 15 repetitions). Regarding the group physiotherapists who are 143 not part of a shoulder network, 5.2% (n=13/251) would recommend sets of less than 10 144 145 repetitions, 51% (n=128/251) would advise sets of 10 repetitions, 15.9% (n=40/251) would 146 prescribe sets of 15 repetitions and 27.9% (n=70/251) would instruct sets of high repetitions 147 (more than 15 repetitions).

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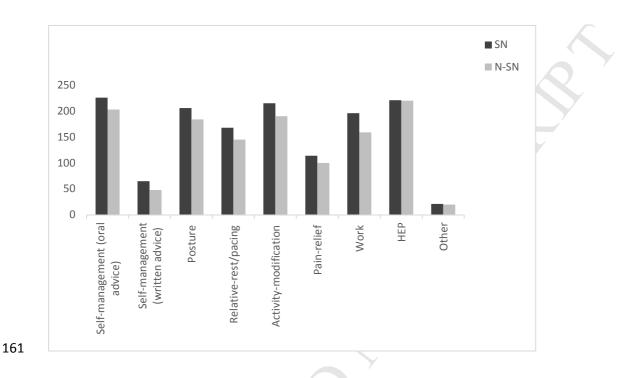
149 What advice would you typically offer this patient?

The responses are shown in *Fig. 3*, from which it can be summarized that physiotherapists offered a combination of advice about a wide range of topics. The following topics are the most frequently advised-on: self-management based on oral advice (n=429/505; 226/254 SN, 203/251 N-SN), posture (n=390/505; 206/254 SN, 184/251 N-SN), activity modification (n=405/505; 215/254 SN, 190/251 N-SN), work (n=355/505; 196/254 SN, 159/251 N-SN) and options for exercises at home (n=441/505; 221/254 SN, 220/251 N-SN).

Table 2 shows a detailed overview of how the respondents would treat this type of patientin their clinical practice. The majority of the respondents would use a combination of face-

- to-face appointments and a home-based programme (n=405/505; 201/254 SN, 204/251 N-
- 159 SN). The patient would be typically seen 5-10 times, spread over six weeks to three months.

160



- 162 Figure 3 What advice would you typically offer this patient?
- 163 SN, shoulder network members; N-SN, non-member of a shoulder network
- 164 HEP, home exercise programme

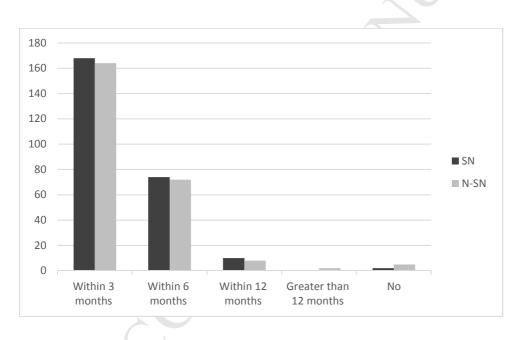
	SN (n)	N-SN (n)	Total (%)	Total (n)
Treatment setting (n = 505)				
Face-to-face appointments	88	70	31.3%	158
Home-based programme	3	2	1%	5
Face-to-face and home-based program	201	204	80.2%	405
Group class(es)	10	1	2.2%	11
Other	9	6	3%	15
Number of times typically seen (n = 505)				
Once	1	3	0.8%	4
Twice	5	21	5.2%	26
3 or 4 times	17	16	6.5%	33
5 or 6 times	71	46	23.2%	117
7 or 8 times	95	39	26.5%	134
9 or 10 times	46	91	27.1%	137
More than 10 times	19	35	10.7%	54
Typical duration of treatment (n = 505)				
Up to 3 weeks	2	14	3.2%	16
Up to 6 weeks	43	73	23%	116
Up to 8 weeks	50	57	21.2%	107
Up to 3 months	126	70	38.8%	196
Up to 6 months	12	11	4.6%	23
Up to 12 months	2	3	1%	5
Other	19	23	8.3%	42
Table 2 Treatment delivery				

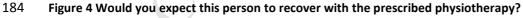
168 Table 2 Treatment delivery

169 SN, shoulder network members; N-SN, non-member of a shoulder network

173 Would you expect this person to recover with the prescribed physiotherapy period? 174 The responses for this question are shown in Fig. 4. Of all the respondents, 65.7% 175 (n=332/505; 168/254 SN, 164/251 N-SN) designated that this person would recover within 176 three months with the prescribed treatment. A smaller group of 28.9% (n=146/505; 74/254 177 SN, 72/251 N-SN) designated that recovery will occur within six months. The minority of 178 respondents considered that this person would recover between six and twelve months 179 (n=18/505; 10/254 SN, 8/251 N-SN), greater than 12 months (n=2/505; 0/254 SN, 2/251 N-180 SN) or no recovery (n=7/505; 2/254 SN, 5/251 N-SN) with the prescribed treatment. 181







- 185 SN, shoulder network members; N-SN, non-member of a shoulder network
- 186 187

188 What would your main treatment goals be for this patient?

This was an open-ended question. It was required to give at least two treatment goals. Because of the variety of responses, no clear trend emerged, but it seems that the most commonly reported themes were: pain reduction, increase the range of motion, improve functionality in activities of daily living and improvement of posture during activities/work (cervico-thoracic spine and scapula-thoracic positioning).

194

195 Would you consider this patient for a surgical opinion and if so, when?

The respondents were able to designate 'Yes' or 'No' in this question. When designated 'Yes', it was possible to substantiate the answer. Almost 30% (n=149/505; 54/254 SN, 95/251 N-SN) of the respondents would consider referral for a surgical opinion, provided that no effect was achieved with the prescribed treatment. Almost 70% (n=352/501; 200/254 SN, 152/251 N-SN) of the respondents would not consider referring this patient for a surgical opinion.

202

203 Do you think that research could benefit your practice with regard to rotator cuff 204 disorders?

A clear majority of the respondents (n=445/505, 88.1%) considered that research could benefit their practice. Minor differences were found comparing study groups ('Yes': SN 230/254, N-SN 215/251). There were various recommendations for further research themes, such as: easy-to-use assessment guidelines, exercise guidelines (type, frequency, duration, intensity), the relation of the cervico-thoracic spine and RC, and the effectiveness of handson therapy.

212

DISCUSSION

213 Summary of findings

The results of this survey identify current physiotherapy practice in The Netherlands and 214 Belgium, and the possible differences in treatment between physiotherapists who are 215 216 member of a shoulder network and those who are not. The results of the present survey 217 suggest that physiotherapists use a broad selection of interventions but, principally, selfmanagement / advice and some kind of exercise therapy. Since this survey is based on the 218 219 previous study from Bury and Littlewood(8), comparisons can be made between current UK physiotherapy practice and current physiotherapy in Belgium and The Netherlands in the 220 treatment of RC disorders. 221

In this survey, with reference to the clinical examination, an interesting difference is the request for medical imaging; Bury and Littlewood(8) reported that only 9% of the respondents requested medical imaging, whereas 31% of the Belgian and Dutch physiotherapists would suggest medical imaging. No difference was observed between SN physiotherapists and N-SN physiotherapists requesting medical imaging. Future studies should focus on the cost-effectiveness and their clinical value in the assessment of RC disorders(13-18).

In addition to their clinical examination, physiotherapists could add suggestions in the comment section considering further physical examination. A lot of physiotherapists suggested the use of orthopaedic tests next to the integration of an examination on the thoracic spine, posture and myofascial structures. This highlights the importance considering reliability and clinical value of these diagnostic tests which is still a large matter of debate(19-22).

236 Only 16% of the physiotherapists would integrate rehabilitation classifications such as SSMP or McKenzie as part of their clinical examination. This is in high contrast with the UK, where 237 54% of the physiotherapists would incorporate a rehabilitation classification approach in 238 their clinical examination of this patient(8). These findings can be potentially explained by 239 240 the fact that these classifications are less known in Belgium and The Netherlands. On the 241 other hand, more respondents from the SN group mentioned the use of these classifications. Future research should address the clinical importance considering reliability and clinical 242 value of these classifications. 243

244

Concerning the management strategies, exercise therapy and advice were the predominant 245 topics in both the SN group and the N-SN group. These findings are similar with those of 246 Bury and Littlewood(8). However, in Belgium and The Netherlands the use of mobilisations 247 (37.8%) was almost double compared to the UK (21%). Moreover, in Belgium and The 248 Netherlands, physiotherapists of the N-SN group were significantly more likely to use passive 249 250 modalities and integrate mobilizations, electrotherapy and massage in their treatment, while these modalities are not strongly supported by current scientific evidence(6, 22). In contrast, 251 252 in the UK no significant differences were found between physiotherapists with or without a specific interest in shoulder disorders, indicating probably more homogenous treatment 253 254 strategies. Although there were no remarkable differences between the SN and the N-SN group considering exercises, there was a large variety in exercise modalities in terms of 255 256 repetitions, sets and instructions. In relation to prescribing instructions of exercises, the 257 majority of physiotherapists instructed the load of exercises in relation to the pain tolerance 258 of the patient. When instructing exercises, sets of 3 with repetitions varying between 10 and 259 15 were most frequently suggested. In both surveys the prescription of exercises in relation

260 to pain was similar: around 70% of all physiotherapists would prescribe painful but acceptable exercises, while 22% would avoid pain during treatment. Exercise with distinct 261 pain is not commonly advised, with or without symptoms disappearing in the next 24 hours. 262 This approach is in line with the current physiotherapy practice, in which different 263 approaches are used to set the pain threshold during exercise, including post-exercise 264 265 response, pain monitoring model or pain level below certain values on a VAS scale(23-26). However, instructions for exercise parameters were inconsistent, which indeed, reflects the 266 267 current ambiguity in the literature.

A remarkable difference comparing the results of the survey in the UK and in Belgium / The Netherlands was found with regard to scapular stabilising exercises. Bury and Littlewood(8) reported that 50% of all respondents would include scapular stabilising exercises in their treatment strategy, whereas 71% (359/505) of all current Belgian / The Netherlands' respondents would use these types of exercise. Over the last several years, interest regarding scapular stabilising exercises grows in literature although up to now conflicting evidence is found regarding their effectiveness(27-31).

Overall, the use of electrotherapy and corticosteroid injections was very rarely included in the treatment strategy of this case. This could possibly be explained by a remaining discrepancy in the current literature regarding their effectiveness(32-35).

The modality and duration of the treatment were similar in the both Belgium / The Netherlands and in the UK, in which 80-82% of the setting consisted in a combination of face-to-face appointments and home programme, ranging between 6 weeks and 3 months of treatment duration. However, in the UK, more group classes were provided (14% in the UK, 2.2% in Belgium / The Netherlands) and less visits (61% just 3 – 4 times in the UK, 77% between 5 and 10 times in Belgium / The Netherlands). This may be related to a more self-

284 managed approach in the UK, or different health-care systems. However, the higher amount 285 of physiotherapy visits in Belgium and The Netherlands may also reflect different 286 perspectives of physiotherapists about the recovery rate, which was 20% points higher 287 within 3 months compared to the UK.

Future research should focus on the modalities of exercise therapy (e.g. types, repetitions). 288 289 A deeper investigation considering home exercise programs would be interesting as well (how many exercises are given, how is the adherence measured,...). Also, there is a clear lack 290 291 of high quality RCTs and reviews testing the potential added value of manual therapy including if, when and how it should be applied. A clear and well-considered selection should 292 be made which kind of treatment modalities should be used in addition to exercise therapy 293 to provide guidelines and an optimal revalidation program for the patient suffering an RC 294 295 disorder.

296

297 Strengths and limitations

This survey was set up in Dutch focusing on physiotherapists from The Netherlands and the Dutch speaking part of Belgium. All non-Dutch speaking physiotherapists were excluded in this survey. 287 surveys were not considered because they were uncompleted, possibly some interesting data were lost here. Despite the fact that multiple resources were used to invite potential physiotherapist to the survey, 90.89% of all respondents are currently active in a private practice. This could possibly lead to a biased view, because all other settings/roles are outnumbered.

305 On the other hand, the use of multiple resources resulted in a large study group of 505 306 respondents, and a good mix of respondents (NL/BE, SN/N-SN).

308

CONCLUSION

From this survey it could be concluded that advice and exercise were the most used treatment modalities in the treatment for RC disorders in Belgium and The Netherlands, which is in line with current scientific evidence. The most suggested types of exercise were isotonic exercises (including eccentric and concentric variants) and scapular stabilisation exercises. More research is needed for an unambiguous exercise protocol considering type, frequency, duration and intensity.

The suggested treatment modalities made by physiotherapists part of a shoulder network are more in line with current evidence. Therefore, grouping of physiotherapists in a shoulder network might be considered as a possible benefit for patients with RC disorders.

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- 505 physiotherapists of Belgian and The Netherlands completed the survey
- Advice and exercise were the most common treatment prescriptions
- Isotonic exercises scapular stabilisation exercises were the most suggested
- Treatment of a SN physiotherapist is more in line with current evidence